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# **EUROPEAN PATENT APPLICATION**

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(54) Aliphatic polyester polymer blends based on poly(lactic acid), methods for manufacturing the same, and methods for molding the same

(57) Blodegradable polymer blends comprising of a golylactic acid or a copolymer containing lactuc-acid-as the main constituent, and a polyhydroxylakinonate or, a copolymer-containing two or more hydroxylakinoic acids as the constituent; methods for controlling the rate of biodegradation of a polylactic acid or a copolymercontaining lactic acid as the main constituent, by blending as above; methods for manufacturing such alighatic polyester polymer blends; and methods for molding

such polymer blends; are provided.

The aliphatic polyester polymer blends obtained in the invention are colorless and clear, and have excellent biodegradabilities, as well as excellent mechanical strengths. The blends can be molded similarly as in conventional thermoplastic resins, so that they can be utilized in many industries, without problems in the waste product treatment after the use. Furthermore, molded products with excellent qualities are obtained from the blands, because of their improved moldabilities.

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EP 0 753 539 A1

#### Description

# Background of the Invention

#### Field of the Invention

This invention relates to aliphatic polyester polymer blends having excellent biodegradability, which comprises polylactic acid or a copolymer containing lactic acid as the main constilluent, and a polyhydroxyalkanoate or a copolymer containing two or more hydroxyalkanoic acids as the constilluents; methods for manufacturing such aliphatic polyester polymer blends, and methods for molding the apiphatic polyester polymer blends.

# Description of the Prior Art

Concerning the problems in plastic waste product treatments, development studies on biodegradable resins have so lar been made extensively. Polylactic acid and copolymers containing factic acid as the main constituent, as well as polyhydroxyalkanoates and copolymers containing two or more hydroxyalkanoic acids as the constituents, are severally known as tiodegradable alighatic polyesters.

Polylactic acid and copolymers containing lactic acid as the main constituent have been manufactured normally by chemical synthesis. They are aliphatic polyesters having bloodegradability, and have a mechanical strengths quitent lot had to other thermophasic resins. However, they are unavoidable from such problems as that their biodegradability is not yet satisfactory from the practical viewpoints, the molding temperature tolerance is narrow because of their higher meiting points and relatively close molding temperatures to the temperatures at which the thermal decomposition begins, thus causing difficulties in controlling such temperatures.

In the industry, accordingly, the advent of biodegradable polymers which are excellent in both mechanical strength and biodegradability, free from the troubles in wasto product treatments after the use, and applicable in wide industries, as well as a method for molding such biodegradable polymers easily and within shorter period of time, has been highly desired.

#### Summary of the Invention

The present invention is to eliminate such disadvantages, and objects of the invention are to provide polymer compositions which are excellent in both mechanical strength and biodegradability, methods for manufacturing the same; and methods for motifing such compositions easily and within shorter period of time.

To solve such problems, the present inventors have accomplished the invention by blending the polylactic acid or a copolymer containing lactic acid as the main constituent, which is an aliphatic polyester, with a polyhydroxyalkanoate, or a copolymer containing two or more hydroxyalkanoic acids as the constituents, which is also an aliphatic polyester, and furthermore by melt-kneading the both polymers using a thermal extruder.

—As tor decomposition under the natural environment of polylactic acid and copolymers containing lactic acid as the main constituent, chemical hydrolysis is dominant at the early stage, and then lactic acid formed by the hydrolysis is decomposed through metabolism of microorganisms. On the other hand, polyhydroxyalkanoates and copolymers containing two or more hydroxyalkanoic acids as the constituents begin to be decomposed through metabolism of microorganisms from the early stage. In the present invention, accordingly, the biodegradability is improved by controlling the rate of degradation in such a way that a polymer which is dominantly hydrolyzed prior to biodegradalion is, homogeneously dispersed in another polymer which is dominantly decomposed through metabolism of microorganisms, and the polymer compositions of this invention give the improved moldability.

Thus, the present invention relates to

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(1) biodegradable aliphatic polyester polymer blends, which comprises a polylactic acid or a copolymer containing lactic acid as the main constituent, and a polyhydroxyalkanoate or a copolymer containing two or more hydroxyalkanoic acids as the constituents:

(2) biodegradable alighatic polyester polymer blends according to (1) mentioned above, wherein the blending amount of the polyhydroxyakanoate or the copolymer containing two or more hydroxyalkanoic acids as the constituente is 5 to 50 % by weight, based upon the total amount of the polyhetic acid or the copolymer containing lactic acid as the main constituent; and the polyhydroxyalkanoate or the copolymer two or more hydroxyalkanoic acids as the constituents:

(3) methods for controlling the rate of biodegradation of a polylactic acid or a copolymer containing lactic acid as the main constituent, by blending to it a polyhydroxyalkanoate or a copolymer containing two or more hydroxyalkanoic acids as the constituents:

- (4) methods for manufacturing aliphatic polyester polymer blends, which comprises melt-kneading a polylactic acid or a copolymer containing lactic acid as the main constituent and a polyhydroxyalkanicate or a copolymer containing two or more hydroxyalkanics acids as the constituents in a thermal extruder.
- (5) methods for manufacturing aliphatic polyester polymer blands according to (4) mentioned above, wherein the polylactic acid or the copolymer containing lactic acid as the main constituent (which may be referred to as "L-polymer" hereinalter), and the polyhydroxyalkanoate or the copolymer continuing two or more hydroxyalkanoic acids as the constituents (which may be referred to as "H-polymers hereinalter) are blended in a blending ratio of 5 to 50 % by weight of (H-polymer/L-polymer + H-polymer) x 100 %.
- (6) methods for manufactiving alignatic polyster polymer blends according to (4) or (5) mentioned above, wherein the polylactic acid or the copolymer containing lactic acid as the main constituent has a melt viscosity at the metikneading temperature of not less than 1,000 poise;
- (7) methods for molding aliphatic polyester polymer blands, which comprises melt-kneading and molding a polylactic acid or a copolymer containing lactic acid as the main constituent, together with a polyhydroxyalkanoale or a copolymer containing two or more hydroxyalkanoic acids as the constituents in a thermal extruder:
- (5) methods for molding aliphatic polyester polymer blends according to (7) mentioned above, wherein the polylactic acid or the copolymer containing lactic acid as the main constituent, and the polyhydroxyalkanoate or the copolymer containing two or more hydroxyalkanoic acids as the constituents are blended in a blending ratio of 5 to 50 % by weight of (H-polymer/L-polymer + H-polymer)) x 100 %;
- (9) methods for molding aliphatic polyester polymer blends according to (7) or (8) mentioned above, wherein the polylactic acid or the copolymer containing lactic acid as the main constituent has a metr viscosity at the metikneading temperature of not less than 1,000 polies; and
- (10) molded products produced from a alighatic polyester polymer blend comprising a polylactic acid or a copolymer containing lactic acid as the main constituent, and a polyhydroxyalkanoate or a copolymer containing two or more hydroxyalkanoic acids as the constituents.

The polymer blends of the present invention comprise polylactic acid or a copolymer containing factic acid as the macrostituent, and a polyhydroxyalkanoate or a copolymer containing two or more hydroxyalkanoic acids as the constituents.

Polylactic acid used in the invention may be any of commercially available ones, for example, "LACTY", manufactured by Shirnadzu Corporation, or others obtained by various polymerization methods. The copolymers containing factic acid as the main constituent include those containing polyethylene glycol as the principal chain, for example, those described in Japanese Laid-Open Patent Publication No. 95-165896, which are copolymers prepared by polymerization of L-lactic acid and/or O-lactic acid with polyethylene glycol having a number-average molecular weight of not less than 300 in a definite proportion.

In any cases, the molecular weight is preferably not less than 100,000, more preferably 100,000 to 300,000, in the weight-average base, from the viewpoints of the mechanical strength and melt viscosity.

Melting point of the L-polymer varies depending on the polymerization degree, as well as the kind of another moment constituent in the copolymer, but it is about 170°C in case of polylactic acid, within the range of preferred weight-average molecular weights as mentioned above.

Polymerization for L-polymers may be conducted using the material(s), for example, factic acid, factice, factic acid with polyethylene glycol or factice with polyethylene glycol, together with an adequate catalyst, in the presence of an H-polymer. This method is preterred, since it gives a homogeneous bland of L- and H-polymers. In case of using factice as the L-polymer material and its ring-opening polymerization being subjected in the presence of an H-polymer, tin catanota is a preferred polymerization tatalyst.

Polyhydroxyalkanoates and the copolymers containing two or more hydroxyalkanolc acids as the constituents used in the invention, are those having weight-average molecular weights of 100,000 to 150,000 in the form of librowder, with a melting point of about 110 to about 110 to about 110 to Typical polyhydroxyalkanotes include poly-3-hydroxybu-lyrate (PHB), poly-3-hydroxybulgria acid-3-hydroxybulgria acid-3-hydroxybulgria acid-3-hydroxybulgria acid-3-hydroxybulgria acid-3-hydroxybulgria acid-4-hydroxybulgria acid-4-hydroxybu

The copolymers may contain two or more different hydroxyalkanoic acids as the constituents in various composition ratios.

Each of polyhydroxyalkanoates and the copolymors containing two or more hydroxyalkanoic acids as the constituents is generally originated from microorganisms. As for such microorganisms. Protomonas extorquons K (FERM BP-3548), Hyphomicrobium methylovorum IPO 14180, Hyphomicrobium hollandicum ATCC 27498. Methylobacterium fujisawaense NCIB 12417. Paracoccus denitrificans ATCC 17441. Alcaligenes eutrophus ATCC 17697, Pseudomonas lemonnieri ATCC 17989 may be illustrated. Datalis of the method to prepare these polymers have been disclosed, for example, in Japanese Laid-open Petert I Publications Nos. 93-7492 and 95-75590.

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Éach of polyhydroxyalkanoates and the copolymers containing two or more hydroxyalkanoic acids as the constituents has good compatibility to polylactic acid or copolymers containing lactic acid as the main constituent, and gives coloriess clear molten polymer blends, when the weight-average molecular weight is lower than 200,000. On the other hand, the compatibility is not satisfactory, and color tone of the resulting molten polymer blends is not favorable, when the weight-average molecular weight is higher than 300,000. Even in such a case, the compatibility can be improved, and coloriess clear molten polymer blends can be obtained, by decreasing the molecular weight down to not higher than 200,000. for example, through blending under a high shearing force.

In the aliphatic polyester polymer blends of the present invention, or, in the aliphatic polyester polymer blends to be mall-kneaded for motding according to the invention, the blending amount of a polyhydroxyalkanoate or a copolymer containing two or more hydroxyalkanoac acids is preferably 5 to 50 %, more preferably 10 to 30 %, by weight, based upon the total amount of the aliphatic polyester polymer blend. If the blending amount is less than 5 % by weight, the biodegradability of the mothed product is not much improved. If the blending amount acceeds 50 % weight, the compatibility of the both polymers is inferior, and color tone of the motided product is not satisfactory. But in this invention, the blending amount can be outside the rance and is not limitative.

The aliphatic polyester polymer blends are preferably obtained according to a manufacturing method of the present invention which will be described hereinafter, but the method should not be construed to be limitative for the invention.

The method for manufacturing the alighatic polyester polymer blends according to the invention is characterized by mell-kneading polylactic acid or a copolymer containing facilic acid as the main constituent, and a polyhydroxyal-kancate or a copolymer containing two or more hydroxyalkancic acids as the constituents, using a themal extruder in a blending ratio of \$t o \$0 % by weight of the polyhydroxyalkancate or the copolymer containing two or more hydroxyalkancate or the copolymer containing two or more hydroxyalkancate or the copolymer containing two or more hydroxyalkancate or the copolymer so be blended. In this manufacturing method, a molten polymer blend with a higher transparency is obtained by using selected polylactic acid or copolymer containing lactic acid as the main constituent which has preferably a melt viscosity at the temperature of melt-kneading of not less than 1,000 poise.

Horcupon, the melt viscosity of polytactic acid or the copolymer containing factic acid as the main constituent is preferably not less than 1,000 poise, more preferably 10,000 to 1,000,000 poise, at the temperature of melt-kneading of the polymer logether with a polyhydroxyalkanoate or a copolymer containing two or more hydroxyalkanoic acids as the constituents. Also, the polyhydroxyalkanoate and the copolymers containing two or more hydroxyalkanoic acids as the constituents, used herein, may be those having melting points of not higher than about 180°C, and the molten resin temperature may be set up to 190 to 200°C. Consequently, melt viscosity of the alighatic polyester polymer bend in a thermal extruder is kept at a higher tevel, and the decrease in the molecular weight of the polyhydroxyalkanoate or the copolymer containing two or more hydroxyalkanoic acids as the constituents is promoted, thus yielding a colorless clear molten polymer blend. On the other hand, it melt viscosity of the polylactic acid or the copolymer containing lactic acids as the man constituent, at the temperature of melt-kneading, is less than 1,000 polso, the decrease in the molecular weight of the polyhydroxyalkanoic acid as the constituents is promoted, the site of the copolymer containing two or more hydroxyalkanoic acid as the constituent, is not promoted, because of the tower viscosity. thus, colorless clear mole no polymer being difficulty obtained.

Further, the moldability at lower temperatures of polylactic acid or a copolymer containing factic acid as the main constituent is improved by blending to it a polyhydroxyalkanoate or a copolymer containing two or more hydroxyalkanoic acids as the constituents.

In the aliphatic polyester polymer blends of the invention, the both polymers may be blended in the presence of another biodegradable polymer, such as polycaprolactam, and additives.

In the method for motding according to the invention, any of thermal extruders may be used. The thermal extruders are defined as motding machines which provide with a cylinder or barrel in order to heat and meti motding plastic materials, and in which the motding plastic materials in the cylinder or barrel are heated and motion to form a uniform flow which is then extruded. Using the thermal extruders, for example, extrusion motding, injection motding, blow motding, etc. are effected.

According to the molding method of the present invention, for example, films, fibers, and other molded products can be obtained at will from the aliphatic polyester polymer blends of the invention, as mentioned above.

### Description of the Preferred Embodiments

The invention will more fully be described in reference to the following examples, which are, however, merely illustrative and should not be construed to be limitative.

# 5 Example 1

Into an extruder were charged 100 g of L-lactide (trade name: LACTY, manufactured by Shimadzu Corporation; melt viscosity at 195°C being 200,000 poise; weight-average molecular weight being 200,000), a definite amount of

poly-3-hydroxybutyric acid met viscosity at 185°C being 6.000 poise; weight-average molecular-weight being 35:00:00); and a catalytic amount of this octanoate, and the mixture was melt-kinaaded at a temperature of 195°C of minutes under nitrogen atmosphere. During the course of the time period, the L-lactide was polymerized to finally form a alighatic polyester polymer blend of polylactic acid and poly-3-hydroxybutyric.acid-in-the-delinite.blending amounts (the blending amount being as defined above). From the alighatic polyester polymer blend, a film of 1 mm thickness was prepared according to the chloroform casting method (10 % by weight concentration). Using its test pieces, the biodegradability as well as light transmission before the biodegradability test, was measured.

Test pieces for biodegradability were each 5 cm x 5 cm square film.

The blending amount of poly-3-hydroxybutyric acid in the total amount of the both polymers was 0 %, 1 %, 5 % or 10 % by weight, respectively.

Evaluation of the film was made as follows: Biodegradability test was conducted at outdoors by burying the test pieces into a commercially available leaf mold in 10 cm depth from the surface of the teaf mold, digging out the pieces after 3 moths, and observing the biodegradability by eye, with the following degrees of biodegradation.

Δ: no change

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- O: whitened
- (i): degraded

Light transmission was measured according to JIS K0115. The results are shown in Table 1.

Table 1

Blending amounts (wt%)	0	1	5	10
Light transmissions (%)	94	94	92	90
Biodegradabilities	Δ	0	0	0

The results in Table 1 exhibit that the blodegradability is improved in the cases of not less than 1 % by weight blending amounts of poly-3-hydroxybuly/c acid, while the light transmission is kept high even in the case of 10 % by weight.

#### Example 2

Into an injection moiding machine were charged polylactic acid (trade name; LACTY, manufactured by Shimadzu. Corporation; melt viscosity at 195°C being 200,000 poise; weight-average molecular weight being 200,000) and poly-3-hydroxybutyric acid (melt viscosity at 185°C being 5,000 poise; weight-average molecular weight being 350,000) in a definite weight ratio with the total amount of 170 g, and the mixture was melt-kneaded at a temperature of 195 to 230°C for molding to make test pieces of each 0.3 mm thickness and 6.5 mm tength. The blending amount of poly-3-hydroxybutyric acid in the total amount of both polymers 0 %, 1 %, 5 % or 10 % by weight, respectively.

Evaluation of the molded product (test pieces) was made by measuring "the suitable molding temperature". When a molding temperature is too low, a melted product cannot flow smoothly inside a die due to high viscosity.

Therefore the lowest temperature at which the metted product can flow smoothly due to appropriate viscosity is defined to be "the suitable molding temperature".

The biodegradability test was conducted in the similar way as in Example 1.

Using the molded product before the biodegradability test, transparency was observed by eye, with the following degrees of biodegradation.

- fully transparent
- O: transparent (with slight clouds)
- The results are shown in Table 2.

Table 2

Blending amounts (wt%)	0	1	5	10
The suitable molding temperature (°C)	230	220	210	195
Transparencies	0	0	0	0

## EP 0 753 539 A1

# Table 2 (continued)

Biodegradabilities	Q.	0	0	0

The results in Table 2 exhibit that when the blending amount of poly-3-hydroxybutyric acid increases, "the suitable molding temperature" lowers accordingly, while retaining the high transparency and excellent blodegradability. The lower "the suitable molding temperature" is, the better the molding condition is, because coloring and cracks were less observed in the case of lower "the suitable molding temperature".

#### 10 Example 3

Into an extruder were charged polylactic acid (trade name: LACTY, manufactured by Shimadzu Corporation; melt viscosity at 195°C being 200,000 poise; weight-average molecular weight being 200,000) and poly-3-hydroxybutyric acid (melt viscosity at 185°C being 6,000 poise; weight-average molecular weight being 350,000) in a definite weight ratio with the total amount of 170 g, and the mixture was melt-kneaded at a temperature of 195°C for 8 minutes to make a aliphatic polyester polymer blend, which had a viscosity at the melt-kneading temperature of 30,000 poise.

The blending amount of poly-3-hydroxybutyric acid based on the lotal amount of the both polymers was 0 %, 1 %.

5 % or 10 % by weight, respectively.

In similar way as in Example 1, a film of 1 mm thickness was prepared according to the chloroform casting method (10 % by weight concentration). Strength test was made using its test pieces.

The strength test was conduct according to ASTM D638

In the cases of the blending amounts of poly-3-hydroxybutyric acid based on the aliphatic polyoster polymor blond being not less than 5 % by weight, slight clouds were observed in the test pieces, while still retaining the high transparencies

The results are shown in Table 3.

Table 3

Blending amounts (wt%)	0	1	5	10
Strength (Mpa)	100.9	-	97.1	96.8
Biodegradabilities	Δ	Δ	0	0

The results in Table 3 exhibit that, when the blending amount of poly-3-hydroxybutyric acid are not less than 5 % by weight, the biodegradalities are improved, while retaining the high strengthes,

Thus, the aliphatic polyester polymer blends obtained according to the invention are excellent in mechanical strength and in biodegradability, and have a high transparency. The aliphatic polyester polymer blends can be molded in similar way as in the conventional thermoplastic resins, so that they can be utilized broadly in many industries, without the problems in the treatment of waste products after the use.

Method for molding the aliphatic polyester polymer blends according to the invention gives molded products of aliphatic polyester having equivalent mechanical strength to that of conventional thermoplastic resins, which products have improved moldability and can be readily molding within a shorter period of time.

#### Claims

- A biodegradable aliphatic polyester polymer blend, which comprises a polylactic acid or a copolymer containing lactic acid as the main constituent, and a polyhydroxyalkanoate or a copolymer containing two or more hydroxyalkanoic acids as the constituents.
- 2. A biologradable aliphatic polyester polymer blend according to claim 1, wherein the blending amount of the polyhydroxyalkanoate or the copolymer containing two or more hydroxyalkanoal acids as the constituents is 5 to 50 % by weight, based upon the total amount of the polylactic acid or the copolymer containing facic acid as the main constituent, and the polyhydroxyalkanoale or the copolymer containing two or more hydroxyalkanoale acids as the constituents.
  - A method for controlling the rate of biodegradation of a polylectic acid or a copolymer containing lactic acid as the
    main constituent, by blending to it a polyhydroxyalkanoate or a copolymer containing two or more hydroxyalkanoic

#### EP 0 753 539 A1

acids as the constituents.

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- 4. A method for manufacturing alighatic polyester polymer blends, which comprises melt-kneading a polylactic acid or a copolymer containing lactic acid as the main constituent, and a polylyroxyalkanicate or a copolymer containing two or more hydroxyalkanicates as the constituents in a thermal extruder.
- 5. A method for manufacturing aliphatic polyester polymer blends according to claim 4, wherein the polytactic acid of the copolymer containing lactic acid as the main constituent, and the polyhydroxyalkanoate or the copolymer containing two or more hydroxyalkanoate or the constituents are blended in a blending ratio of 5 to 50 % by weight of the polyhydroxyalkanoate or the copolymer containing two or more hydroxyalkanoate acids as the constituents, based upon the total amount of the polylactic acid of the copolymer containing lactic acid as the main constituent, and the polyhydroxyalkanoate or the copolymer containing two or more hydroxyalkanoic acids as the constituent.
- 5 6. A method for manufacturing aliphatic polyester polymer blends according to claim 4 or 5, wherein the polylactic acid or the copolymer containing factic acid as the main constituent has a melt viscosity at the melt-kneading temperature of not less than 1,000 poise.
- 7. A method for molding aliphatic polyester polymer blends, which comprises melt-kneading and molding a polylactic acid or a copolymer containing factic acid as the main constituent, together with a polyhydroxyalkanoate or a copolymer containing two or more hydroxyalkanoic socids as the constituents in a thermal extrust.
  - 8. A method for molding aliphatic polyester polymer blends according to claim 7, wherein the polylactic acid or the copolymer containing lactic acid as the main constituent, and the polylydroxyalkanoate or the copolymer containing two or more hydroxyalkanoia exid as a the constituents are blonded in a blending ratio of 5 to 50 %, by weight of the polyhydroxyalkanoate or the copolymer containing two or more hydroxyalkanoia acids as the constituents, based upon the total armount of the polylactic acid or the copolymer containing lactic acid as the main constituent, and the polyhydroxyalkanoate or the copolymer containing two or more hydroxyalkanoic acids as the main constituents.
- 9. A method for molding aliphatic polyester polymer blends according to claim 7 or 8, wherein the polylactic acid or the copolymer containing lactic acid as the main constituent has a melt viscosity at the melt-kneading temperature of not less than 1,000 poise.
- 10. A molded product produced from a aliphatic polyester polymer blend comprising a polylactic acid or a copolymer containing lacil: acid as the main constituent, and a polyhydroxyalkanoate or a copolymer containing two or more hydroxyalkanoic acids as the constituents.



# EUROPEAN SEARCH REPORT

Application Number 96 40 1469

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Application Number EP 96 40 1469

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